IAP7 Rec'd PCT/PTO 0 6 SEP 2006

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)					CUSTOMER NO. 22,852 ATTORNEY'S DOCKET NUMBER 09367.0058-00000		
CONCERNING A SUBMISSI					U.S. APPLICATION NO. 10/553,990 If known, see 37 CFR 1.5)		
		TIONAL AP 4/14958	PPLICATION NO.	INTERNATIONAL FILING DATE May 13, 2004	PRIORITY DATE CLAIMED May 15, 2003		
		INVENTION NDS, COM	N IPOSITIONS AND METHOD	es			
			DO/EO/US stave BERGNES, and David	J. MORGANS, JR.			
Appl	icant(	s) herewith	submits to the United States	s Designated/Elected Office (DO/EO/US) the follo	wing items and other information:		
1.		This is a FIRST submission of items concerning a filing under 35 U.S.C 371.					
2.	$\boxtimes$	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.					
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.					
4.		The US has been elected (Article 31).					
5.		A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).					
		a. is attached hereto (98 pages) (required only if not communicated by the International Bureau).			emational Bureau).		
	b. has been communicated by the International Bureau.						
		с. 🗆	is not required, as the app	lication was filed with the United States Receiving	g Office (RO/US).		
6.		An Englis	h language translation of the	e International Application as filed (35 U.S.C. 371)	(c)(2)).		
		a. is attached hereto.					
		b. has been previously submitted under 35 U.S.C. 154(d)(4).					
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).			S.C. 371 (c)(3)).		
ĺ		a. are attached hereto (required only if not communicated by the International Bureau).					
		b.  have been communicated by the International Bureau.					
		c. 🗆	have not been made; how	rever, the time limit for making such amendments	has NOT expired.		
		d. 🗖	have not been made and	will not be made.	·		
8.		An Englis	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).				
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).					
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).					
item	ıs 11 t	to 20 belov	v concern document(s) or	information included:			
11.		Informatio	on Disclosure Statement und	der 37 CFR 1.97 and 1.98 (2 pages)			
12.		An assign	nment document for recordin	g. A separate cover sheet in compliance with 37	CFR 3.28 and 3.31 is included.		
13.	$\boxtimes$	A preliminary amendment.					
14.		An Application Data Sheet under 37 CFR 1.76.					
15.		A Substitute specification.					
16.		A power of attorney and/or change of address letter.					
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.					
18.		A second copy of the published International Application under 35 U.S.C. 154 (d)(4).					
19.		A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).					
20.	Ø	· ·					
		a.	Copy of the Notification of		he United States Designated/Elected Office (DO/EO/US)		
		e. 🗆			3		
•		f. 🔲					

U.S. APPLIC 10/553,990	APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/US2004/014958		ATTORNEY'S DOCKET NUMBER 09367.0058-00000				
	The following fees have	ve been submitted:				CALCULATIONS	PTO Use
21. 🔲	BASIC NATIONAL FE	E (37 CFR 1.492(a)):		\$300.00		\$	Only
22. 🔲 E	Examination fee (37CF	R 1.492(c))	-				
If the Writter	the Written opinion prepared by ISA/US or the International preliminary examination report prepared						
All other situ	by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$		
If the written IPEA Search fee ( International previous	Search fee (37 CFR 1.492(b))  the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					\$	
All other situ	ations		1 22 and 23 -	\$500		\$	
	Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					<b>4</b>	
Тс	otal Sheets	Extra Sheets	Number of each additional thereof (round <b>up</b> to a who		Rate	ф.	
	- 100 =	/50=		· · · · · · · · · · · · · · · · · · ·	x \$250.00	\$	
		g any of the search fee, exa ige (37 CFR 1.492(h)).	mination fee, or the oath or d	eclaration after the da	ate of	\$130.00	
	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total Claims		33 - 20 =		x \$50.0		\$650.00	<b></b>
Independen	t Claims DEPENDENT CLAIM(	1 -3 =	= 0	x \$200.0 +\$360.0		\$0	
WOLTH LE	DEF CHOCKE OF	o) (II applicable)	TOTA	L OF ABOVE CALC		\$780.00	
☐ Applican	-t -laima amall antity st		ees above are reduced by ½.	at of pasts and	<b>V</b>	\$	
Арріісаі	it Claims Small entity St	atus. See 37 OFTI 1.27. TO	ees above are reduced by /2.		SUBTOTAL =	\$780.00	
	fee of <b>\$130.00</b> for furn	ishing the English translation	n later than 30 months from th			\$	
1.492(i)).				TOTAL NATI	+	#790 OO	
Fee for reco	ording the enclosed ass	signment (37 CFR 1.21 (h)).	The assignment must be acc		oropriate	\$780.00 \$	
	(37 CFR 3.28, 3.31). \$ 16H 00000105 0609				+		
				TOTAL FEES E	NCLOSED =	\$ 780.00	
617 615	130.00 DA 650.00 DA					Amount to be refunded:	\$
						charged:	\$
a. 🔲 A	check in the amount o	f \$ to cover the ab	ove fees is enclosed.				
			he amount of \$ <u>780.00</u> to cov	er the above fees.			
A duplicate copy of this sheet is enclosed.  c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0916. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be includ on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:					nd grante		
901 New Yo	Henderson, Farabow, C ork Avenue, N.W. n, DC 20001-4413	Garrett & Dunner, L.L.P.		SIGNATURE  L. Stevens Reg. No.			
DATED: Se	ptember 6, 2006		NAM	E/REGISTRATION N	NUMBER		
	<del></del>	CERTIFICATE UNI	DER 37 CFR § 1.10 OF MAIL	ING BY "EXPRESS	MAIL"		
EV747261731US September 6, 2006							
		ondence is being deposited	with the United States Postal	Services "Express M			



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
P.O. Dox 1450
Alexandria, Viginia 22313-1450
www.uspto.gov

		- · · · · · · · · · · · · · · · · · · ·
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/553,990	Xiangping Qian	09367.0058-00000

INTERNATIONAL APPLICATION NO. PCT/US04/14958 I.A. FILING DATE PRIORITY DATE 05/13/2004

22852 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER 901 NEW YORK AVENUE, NW

**WASHINGTON, DC 20001-4413** 

JUL 1 0 2006

**CONFIRMATION NO. 1353 371 FORMALITIES LETTER** 

05/15/2003

\*OC00000019500334\*

Date Mailed: 07/06/2006

Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/20/2005
- Copy of the International Search Report filed on 10/20/2005
- Information Disclosure Statements filed on 10/20/2005
- U.S. Basic National Fees filed on 10/20/2005
- Priority Documents filed on 10/20/2005
- Specification filed on 10/20/2005
- Claims filed on 10/20/2005
- Abstracts filed on 10/20/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEE	S DUE	•
----------------	-------	---

Total additional fees required for this application is \$130 for a Large Entity

Docketed 7/10	66 Attorney	US/JOV/4		
Case 9367-0058				
Due Date <u>9/4</u>	106 W/ 14	ts		
Action See	(scug di	w		
By	Ø.	M		

• \$130 Sürcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.		
10/553,990	PCT/US04/14958	09367.0058-00000		

FORM PCT/DO/EO/905 (371 Formalities Notice)